Application No. 10/769,598 TRANSMITTAL FORM Filing Date January 30, 2004 (to be used for all correspondence after initial filing) First Named Inventor Peter C. Zhu Art Unit Not yet assigned **Examiner Name** Not yet assigned Total Number of Pages in This Submission 5 Attorney Docket Number 56301P5007 (check all that apply) **ENCLOSURES** After Allowance Communication to Group Fee Transmittal Form Drawing(s) Appeal Communication to Board of Appeals and Interferences Fee Attached Licensing-related Papers Appeal Communication to Group Petition Amendment / Response (Appeal Notice, Brief, Reply Brief) After Final Petition to Convert a Provisional Application **Proprietary Information** Affidavits/declaration(s) Power of Attorney, Revocation Change of Correspondence Address Status Letter **Extension of Time Request** Other Enclosure(s) Terminal Disclaimer (please identify below): **Express Abandonment Request** Request for Refund Copies of three (3) of the Information Disclosure Statement cited references, the First PTO/SB/08 CD, Number of CD(s) Class Certificate of Mailing and a stamped return Certified Copy of Priority Document(s) postcard. Response to Missing Parts/ Incomplete Application Remarks Basic Filing Fee Declaration/POA Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Signature

Date

CERTIFICATE OF MAILING/TRANSMISSION

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Typed or printed name	Krista Mathieson	<b>.</b>
Signature	Must Mathisa	Date July 29,2004

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C FEE TO A NOMITTAL		Complete if Known	
FEE TRANSMITTAL for FY 2004	Application Num	per 10/769,598	
for FY 2004	Filing Date	January 30, 2004	
Effective 01/01/2004. Patent fees are subject to annual revision.	First Named Inve	entor Peter C. Zhu	
Applicant claims small entity status. See 37 CFR 1	.27. Examiner Name	Not yet assigned	
	Art Unit	Not yet	
TOTAL AMOUNT OF PAYMENT (\$)	Attorney Docket	No. 56301P5007	

Attorney Docket No.

METHOD OF PAYMENT (check all that apply)				FE	E CALCULATION	ON (continue	ed)	_
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FEE CALCULATION	1251		2251	210	Extension for reply with			
	1252		2252	475	Extension for reply with			
1. BASIC FILING FEE	1253		2253	740	Extension for reply with			
Large Entity Small Entity Fee Fee Fee Fee Fee Description Fee Paid	1254		2254		Extension for reply with			
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**or number previously paid, if greater, For Reissues, see below	- Reduc	ed by Basic Filin	ng Fee Pai	id	s	UBTOTAL (3)	(\$)	
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SUBMITTED BY	T	Registration	on No.		-		lete (if applic	
Name (Print/Type) Brent E. Vecchia, Reg. No. 48,011		Attorney/Age		·   4	48,011	Telephone	(303) 74	·0-1980

7-29-04

Date

Signature

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## TRANSMITTAL for FY 2004 Complete if Known **Application Number** 10/769,598 January 30, 2004 Filing Date Effective 01/01/2004. Patent fees are subject to annual revision. Peter C. Zhu First Named Inventor Examiner Name Not yet assigned Applicant claims small entity status. See 37 CFR 1.27. Art Unit Not yet 56301P5007 TOTAL AMOUNT OF PAYMENT (\$) Attorney Docket No.

МЕ	THO	OF F	PAYM	ENT (check a	all that appl	y)				FE	E CALCULATION (continued)	
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	ge fee(s)			· _ · · ·	any overpaymer	ıts	1804	920*	1804	920 *		
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	ge fee(s) above-ic			xcept for the filing f ccount	ee		1251	110	2251	55	Extension for reply within first month	
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Code	(\$)	Code	(\$)				1404	330	2401	165	Notice of Appeal	
1001	770	2001	385	Utility filing fee	[.		1402	330	2402	165	Filing a brief in support of an appeal	-
1002	340	2002	170	Design filing fee	L		1403	290	2403	145	Request for oral hearing	
1003	530	2003	265	Plant filing fee			1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1004	770 160	2004	385 80	Reissue filing fee Provisional filing fe	<u> </u>		1452	110	2452	55	Petition to revive - unavoidable	
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			SUB	TOTAL (1)	(\$)		1501	1,330	2501	665	Utility issue fee (or reissue)	
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Large Er		Small					8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description			1809	770	1809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1202	18	2202	9	Claims in excess of			4040	770	0040	005	•	
1201	86	2201	43	Independent claims			1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1203	290	2203 2204	145	Multiple Dependent **Reissue independe		riginal	1801	770	2801	385	Request for Continued Examination (RCE)	
1204	86	2204	43	patent	on signing over o	- garier	1802	900	1802	900	Request for expedited examination	
1205	18	2205	9	**Reissue claims in	excess of 20 and	over	Other fe	e (specify)	•		of a design application	
	1	•		original patent	<u> </u>							
			SUB	FOTAL (2)	(\$)		*Reduced	by Basic Filin	g Fee Pai	id	SUBTOTAL (3) (\$)	-
	**or nun	nber prev	iously pa	id, if greater, For Rei	ssues, see below	,					(4)	
SUB	SUBMITTED BY Complete (if applicable)											

SUBMITTED		Registration No.	10.044		(303) 740-1980
Name (Print/Type)	Brent F. Vecchia, Reg. No. 48,011	(Attorney/Agent)	48,011	Telephone	(303) 740-1980
Signature	Kent E. Veurie			Date	7-29-04

Docket No.: 56301P5007



In Re the Application of:

PETER C. ZHU, ET AL.

Application No.: 10/769,598

Filed: January 30, 2004

For: GERMICIDAL COMPOSITIONS CONTAINING

PHENYLMALONALDEHYDE-TYPE COMPOUNDS, OR MIXTURES OF PHENYLMALONALDEHYDE-TYPE COMPOUNDS AND PHTHALALDEHYDES, AND METHODS OF USING SUCH COMPOSITIONS FOR

DISINFECTION OR STERILIZATION

Art Group: Not yet assigned

Examiner: Not yet assigned

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: 7-29-04

Brent E. Vecchia, Reg. No. 48,011, Reg. No.

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (303) 740-1980

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Krlsta Mathieson

-2- 56301P5007

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INFORMATION DISCLESSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known				
Application Number	10/769,598			
Filing Date	January 30, 2004			
First Named Inventor	Peter C. Zhu			
Art Unit	Not yet assigned			
Examiner Name	Not yet assigned			
Attorney Docket Number	56301P5007			

		•	U.S. PATE	NT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	Ì	US-4,419,368	12-06-1983	Jones et al.	
		US-5,866,723	02-02-1999	Hamper et al.	
		US-5,945,451	08-31-1999	Kraatz et al.	
		US-6,461,997	10-08-2002	Hedge et al.	
		US-6,552,203	04-22-2003	Bertenshaw et al.	
		US-			

		FOF	REIGN PATEN	IT DOCUMENTS		
Examiner	Cite	Foreign Patent Document			Pages, Columns, Lines,	т6
Initials*		Country Code* - Number* - Kind Code* (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	1-
		WO 00/24761	05-04-2000	Aventis Pharma Deutschland	Translated Abstract	
		JP 09/124538	05-13-1997	Japanese Patent Application	Translated Abstract	
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Examiner	Date		
Signature	Considered	•	

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&#</sup>x27;Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.



## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known				
Application Number	10/769,598			
Filing Date	January 30, 2004			
First Named Inventor	Peter C. Zhu			
Art Unit	Not yet assigned			
Examiner Name	Not yet assigned			
Attorney Docket Number	56301P5007			

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		Preparation of aromatic polyaldehydes by hydrolysis of gem-dibromides. Li, Mingwei; Fan, Nengting. Beijing No. 1 Light Ind. Inst., Beijing, Peop. Rep. China. Huaxue Shijie (1985), 26(5), 168-70. Journal written in Chinese. Abstract Translated	
		<u></u>	

Examiner	Date
Signature	Considered

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&#</sup>x27;Applicant's unique citation designation number. <sup>a</sup>Applicant is to place a check mark here if English language Translation is attached.